

07/30/01



jc985 U.S. PTO

07-31-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P04763US0
First Inventor	PIFFNER, KIMBERLY ANN, c
Title	METHOD, SYSTEM & APPARATUS FOR ENTERPE
Express Mail Label No.	EL 51538273US

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09/918091

07/30/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 8]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information:

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label 22885 or ☐ Correspondence address below
(Insert Customer No. or Attach Bar code label here)

Name					
Address					
City		State		Zip Code	
Country		Telephone	(515) 288-3667	Fax	(515) 288-1338

Name (Print/Type)	JOHN D. GOODHUE	Registration No. (Attorney/Agent)	47,603
Signature	<i>John D. Goodhue</i>	Date	July 30, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

870.00

Complete if Known

Application Number	
Filing Date	July 30, 2001
First Named Inventor	PIFFNER, KIMBERLY ANN, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	P04763US0

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.
- Deposit Account Number **26-0084**
- Deposit Account Name **Zarley, McKee, Thomte, Voorhees & Sease**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27
2. ☒ **Payment Enclosed:**
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	Utility filing fee	710.00
106	206	320	Design filing fee	
107	207	490	Plant filing fee	
108	208	710	Reissue filing fee	
114	214	150	Provisional filing fee	
SUBTOTAL (1)				(\$) 710.00

2. EXTRA CLAIM FEES

Total Claims **17** - 20** = **0** x **0** = **0**

Independent Claims **5** - 3** = **2** x **80** = **160.00**

Multiple Dependent **0** = **0**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
103	203	18	Claims in excess of 20
102	202	80	Independent claims in excess of 3
104	204	270	Multiple dependent claim, if not paid
109	209	80	** Reissue independent claims over original patent
110	210	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	Surcharge - late filing fee or oath	
127	227	50	Surcharge - late provisional filing fee or cover sheet	
139	139	130	Non-English specification	
147	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	112	920*	Requesting publication of SIR prior to Examiner action	
113	113	1,840*	Requesting publication of SIR after Examiner action	
115	115	215	Extension for reply within first month	
116	116	390	Extension for reply within second month	
117	117	890	Extension for reply within third month	
118	118	1,390	Extension for reply within fourth month	
128	128	1,890	Extension for reply within fifth month	
119	119	310	Notice of Appeal	
120	120	310	Filing a brief in support of an appeal	
121	121	270	Request for oral hearing	
138	138	1,510	Petition to institute a public use proceeding	
140	140	110	Petition to revive - unavoidable	
141	141	1,240	Petition to revive - unintentional	
142	142	1,240	Utility issue fee (or reissue)	
143	143	440	Design issue fee	
144	144	600	Plant issue fee	
122	122	130	Petitions to the Commissioner	
123	123	50	Processing fee under 37 CFR 1.17(q)	
126	126	180	Submission of Information Disclosure Stmt	
581	581	40	Recording each patent assignment per property (times number of properties)	
146	146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	149	710	For each additional invention to be examined (37 CFR § 1.129(b))	
179	179	710	Request for Continued Examination (RCE)	
169	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type)	JOHN D. GOODHUE	Registration No. (Attorney/Agent)	47,603	Telephone	(515) 288-3667
Signature	<i>John D. Goodhue</i>	Date	July 30, 2001		

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